The Functional Programming Paradigm

Luca Abeni
luca.abeni@santannapisa.it

Programming Paradigms

- Programs can be developed using many different paradigms
  - Imperative: computation as state modification
  - Functional: computation as reduction (???)
  - ...
- Imperative paradigm
  - Mutable variables: environment associating names to variables, store associating variables to values
  - Assignments are the core of programs
    - Modify the store (f: variable $\rightarrow$ value)
    - Each variable "contains" an R-value
  - Directly maps to Von Neumann machines

Functional Programming Paradigm

- Functional Programming $\rightarrow$ no state / mutable variables
  - No mutable variables $\Rightarrow$ no assignments!
    - Environment without store
  - Programs composed by expressions and functions (no commands)
  - Computation as reduction / substitution of expressions
    - Instead of state mutation...
- Reduction??? WTH is this???
  - Replacing the invocation of a function with the returned value...

Functional Programming Technique 1: Recursion

- No mutable state $\rightarrow$ no iteration (loop)!
  - Iteration is based on repeating something while a predicate is true
  - Predicate: boolean function of the state... Immutable state $\Rightarrow$ the predicate is always true or always false $\Rightarrow$ infinite loop, or no iteration!
- Use Recursion instead of iteration!
  - Mathematical model: λ-calculus!

Mathematical Functions

- Function: relation between domain and codomain, associating at most an element of the codomain to each element of the domain
  - $f : \mathcal{X} \to \mathcal{Y}$
  - $f \subset \mathcal{X} \times \mathcal{Y} : (x, y_1) \in f \wedge (x, y_2) \in f \Rightarrow y_1 = y_2$
  - $(x, y) \in f \to y = f(x)$
- $f(x)$ is... Ambiguous?
  - $f(x) = x^2$: definition of $f()$
  - $f(3)$: application of $f()$ to 3
  - The same syntax ($f(x)$) is used for definition and application of a function?

Programming with Functions

- In math, the meaning of "$f(x)$" depends on the context...
  - Example: "$f(x) = x^2$" vs "$f(3)$"
- ...A programming language needs a more univoque syntax!
  - We need a different syntax for application and definition
- Some examples:
  - C/C++: "$\{ \ldots \}$" after the function's prototype is used for definitions
  - In ML, `fn` is used to define a function
  - ...

Function Definitions vs Expressions

- Special syntax to *define* functions
  - In C, "`double f(double x) {return x * x;}`" defines $f(x) = x^2$
  - But... This is not an expression!!!
- Strange idea: use expressions to define functions... Something like "`f1 = {return x * x;}`"???
  - Not possible in C... Functions are not expressible or storable values...
  - ...Maybe, we can store/express *function pointers* but not functions!
- In C++, "`auto f1=[](double x){return x*x;};`"
- Notice: these are **real functions**, not function pointers!

Anonymous Functions

- "`auto f1 = [](double x) {return x * x;};`" defines "`f1`" (a variable) and binds it to a function
  - Function as a *storable* value (can be assigned to a variable)
  - Function as an *expressible* value (can be the result of an expression)
- "`[](..){...}`" defines a function without a name!!!
- This expression (named "lambda" in C++) evaluates to an *anonymous function*
  - Can be assigned to a variable, passed as an argument to a function, ...
- The type of a lambda expression in C++ is "`std::function<...>`"

Execution as Evaluation

- Functional program: composition of pure functions
  - Recursion is used instead of iteration
- “Executed” by evaluating the expressions obtained from the functions
- Usual example: factorial!

```
unsigned int fact(unsigned int n)
{
  return n == 0 ? 1: n * fact(n - 1);
}
```

  - Note the “arithmetic if” (`p ? a : b`)
- `fact(4)` = ?

Example of Evaluation

`fact(4) = ...` “`n == 0 ? 1: n * fact(n - 1)`”, replacing “`n`” with “`4`”

- `(n == 0 ? 1: n * fact(n - 1))(4)`
- So, 2 different replacements: replace “`fact`” with its definition, and then replace “`n`” with “`4`”

```
fact(4) = (4 == 0) ?  1 :  4 * fact(3) =
4 * fact(3) =
4 * ((3 == 0) ?  1 :  3 * fact(2)) =
4 * 3 * fact(2) =
4 * 3 * ((2 == 0) ?  1 :  2 * fact(1)) =
4 * 3 * 2 * fact(1) =
4 * 3 * 2 * ((1==0) ?  1 :  1*fact(0)) =
4 * 3 * 2 * 1 * 1 = 24
```

Evaluation, or... Reduction

- In the FP jargon the term “reduction” is often used instead of “evaluation”
  - A program is reduced by text replacement of subexpressions
- Substituting function invocations with the function body, and then with the returned values
  - Substitute the formal parameter with the actual parameter...
  - For example, if `double f(double x){return x * x;}`, we want “`f(3)`” to be replaced by “`3 * 3`” and then “`9`”
- Let’s look at some more details about how reduction works...

Reduction?

- Function application:
  - Replacement of the function name with the function body
  - Replacement of formal parameters with actual parameters
- Often called parameters passing by name
- Example: in "`f(3)`", "`f`" is first replaced by "`x * x`" and then "`x`" is replaced by "`3`" obtaining "`3 * 3`", which evaluates to "`9`"
  - `f(3)` $\rightarrow$ `(x * x)(3)` $\rightarrow$ `3 * 3` $\rightarrow$ `9`
- It is all strings manipulation!
  - No variables, no execution, no stack...

Example of Reduction

```
unsigned int fac(unsigned int n)
{
  return n == 0 ? 1: n * fac(n - 1);
}
```

- `fac(4)` is replaced by "`n == 0 ? 1 : n * fac(n - 1)`" applied to "`4`"...
  - Replacement due to the definition of "`fac()`"
- Then, "`n`" is replaced by `4`
  - Replacement due to parameters passing
- "`4 == 0 ? 1 : 4 * fac(4 - 1)`" evaluates to "`4 * fac(3)`"
  - Replacement due to mathematical evaluation!
- Now, restart from the beginning with "fac(3)"...

Diverging Computations

- It is possible to create endless sequences of replacements
  - `int f(int x){return f(x);}`
  - This is equivalent to an endless loop (“`while(1);`”): diverging computation
- In other words, an infinite recursion is a diverging computation
  - Will the stack overflow? Not if we use tail calls (and corresponding optimizations)
- Looks strange, but is needed for Turing completeness!!!

Functional Programming Concepts

- Repeat with me: no commands (no side effects), only use expressions (pure functions)
  - Expressions are composed by values (non-reducible) and primitive operators
- How are expression built? (what's the syntax for writing expressions?)
- Two basic concepts: abstraction and application
  - In few words, "abstraction" is function definition...
  - ...While "application" is function application
- Text replacements are performed based on abstractions and applications
  - Text replacements due to mathematical evaluation can be seen as a form of "application"

Abstractions

- Abstraction: given an expression "`e`" and an identifier "`x`", builds an expression returning a function that has "`e`" as body and "`x`" as formal parameter
  - The expression "`e`" can then use the variable "`x`"
- In FP jargon, we are *abstracting* `e` from the specific value of `x`
- Example of abastraction: `[](auto x)e`
  - Anonymous function mapping `x` into `e`!!!

Applications

- Application: given a function `f` and an expression `e`, builds the expression `f(e)`
  - Applies `f` to `e`, evaluating the value of $f()$ given the value of `e`
  - This is the inverse of abstraction!

Reduction Revisited

- The reduction of an expression happens using 2 fundamental mechanisms:
  1. Search in the environment (replacing identifiers with the corresponding values)
  2. Function application (replacing formal parameters with actual parameters)
- Replacing "`fact(4)`" with the function body is based on a search in the environment (search the environment for the value corresponding to symbol "`fact`")
- Replacing "`n == 0 ? 1: n * fact(n - 1)`" with "`4 == 0 ? 1: 4 * fact(4 - 1)`" is based on function application

Summing Up: Functional Languages Features

- Functions are expressible values
  - Functions (code) and data are handled in the same way
- Functions can receive functions as arguments
- Functions can generate functions as results
  - Looks simple, but...
  - What's the environment of the returned function? We need closures!
- People often talk about *high-order functions*...

Putting all Together

- A functional program is a set of definitions and expressions
  - Can modify the environment (creating bindings)
  - Can require the evaluation of complex functions
- Executed by text replacement (reduction)
- Continuosly simplify expressions using 2 operations:
  - Search (bindings in the environment) and replace
  - Applications of functions to arguments (replacing formal parameters with actual parameters)

Some Questions...

- This “search and replace” (and apply) idea looks simple
  - But the devil is in the details!
- When should the reduction process stop?
  - What is an “irreducible expression” (or, value)?
- If more than 1 replacement can be performed in the same expression, which one is performed first?
  - What is the “precedence rule” for replacements/reductions?