# On the QNX IPC: Assessing Predictability for Local and Distributed Real-Time Systems

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Abstract-With the advent of massively distributed applications such as those required by the IoT-to-Edge-to-Cloud compute continuum (i.e., automotive, smart agriculture, smart manufacturing, and more), real-time communication mechanisms allowing physically distributed nodes to seamlessly communicate as if they were running on the same host acquired noteworthy importance. To this end, the synchronous inter-process communication (IPC) mechanism provided by the QNX operating system (OS) is a promising candidate, as it allows using the application programming interface for communicating both on a single- and multi-node setting. Furthermore, it provides priority and partition inheritance mechanisms to improve predictability when working with the Adaptive Partitioning Scheduler (APS), a reservationbased scheduler provided by the QNX OS. This paper explores the behavior of the QNX synchronous message-passing (SyncMP) IPC with an extensive set of experiments, using them to formalize its behavior and model it from a real-time perspective. Then, it provides a response-time analysis for client-server applications based on the QNX SyncMP building upon self-suspending task theory. Finally, we evaluate the analysis on an application based on the WATERS 2019 Challenge by Bosch.

#### I. INTRODUCTION

Modern applications across several domains are predominantly distributed and consist of a set of communicating components located on different nodes, possibly spanning the IoT, to the edge, up to the cloud. A case in point is autonomous driving [1]–[4], that requires intensive computations, which may be offloaded to surrounding edge nodes or the cloud, and could also interact with the surrounding IoT infrastructure. In essence, functionalities are often realized by elements that are executed on the local node, e.g., an electronic control unit (ECU) of a car, and others that are offloaded elsewhere, either another ECU or to the edge/cloud in a client-server fashion. This gives rise to the need for a *compute continuum*, where nodes can seamlessly interact in a distributed environment as if executing on the same node.

To this end, the QNX operating system provides a set of *synchronous message-passing primitives* (SyncMP) for *inter-process communication* (IPC) devised to be used to implement such a client-server paradigm [5]. Unlike most of the other IPC mechanisms, the QNX IPC allows for the seamless integration of components distributed onto multiple nodes by transparently using the same application programming interface (API), via the *QNX Transparent Distributed Processing* (QNET) component. QNET enables logically merging a set of distributed QNX devices into a single logical computer where

all applications can communicate through a unified programming interface. Furthermore, QNX is ISO-26262 certified at the highest level of assurance (ASIL-D), making it widely preferred by many automotive OEMs [6].

Another key aspect for most of these applications is the need to satisfy real-time requirements. From this side, QNX is a promising candidate. Indeed, the QNX OS provides the *Adaptive Partitioning Scheduler* (APS) [7], which implements fixed-priority scheduling and a reservation-based mechanism where threads are grouped into "partitions", i.e., virtual containers with a guaranteed fraction of processing bandwidth. The assigned bandwidth is ensured to be delivered irrespective of what is executed in other partitions, and it allows for providing temporal isolation among partitions. This is very desirable in the target distributed setup, where modules running in different partitions can have different levels of criticality.

Furthermore, QNX provides *priority and partition inheritance* mechanisms to improve the predictability of its SyncMP when used with APS. So when a server thread is executing a service on behalf of a client, it can inherit the priority and the partition bandwidth associated with the client. This prevents the priority-inversion phenomenon that would arise if the server executed with a lower priority than the requesting thread and allows for designing servers' partitions more easily.

However, QNX is closed-source, and how APS and the SyncMP mechanism interact is not extensively documented. Furthermore, only a few works studied QNX from a realtime perspective [6, 8] and to our knowledge, none of them explore the local IPC mechanisms or the aforementioned transparent distributed processing from a timing perspective. This makes it hard to provide a suitable model of the system to allow deriving timing bounds for distributed applications using QNX.

**Contributions.** To fill this gap, this work explores the behavior of the QNX SyncMP mechanism when working in conjunction with APS. To this end, we perform extensive experiments to characterize its behavior from a real-time perspective, and derive a suitable model for analyzing QNX-based client-server applications. Furthermore, we describe the QNX SyncMP and its interactions with APS with a set of rules. The model and the rules are then used for deriving a response-time analysis based on self-suspending task theory [9], which sets the foundation for deriving design methodologies and analysis-driven orchestration mechanisms for distributed applications running QNX in future work. Finally, we report the results of an extensive evaluation we performed to: (a) evaluate the communication latency when using SyncMP; (b) compare the proposed WCRT bounds with measurements on the platform; and (c) evaluate the analysis on a realistic autonomous driving application based on the WATERS 2019 Challenge by Bosch [10].

# II. QNX OS OVERVIEW

This section introduces the main features of the QNX OS that are considered in this work.

The Adaptive Partitioned Scheduler (APS). The APS is a reservation-based scheduler that groups threads into virtual containers called partitions. By budgeting the execution of each partition, ONX APS allows providing each partition with a fraction of the processing capacity. For each partition, its budget determines the amount of processing time in a sliding window, common to all partitions, set to 100 ms by default. When the budget reaches 0, the partition is throttled, and its budget is gradually restored when enough time has passed. The QNX scheduler combines APS with a fixed-priority scheduler, meaning that, at any point in time, the highest-priority thread with a positive budget is selected to run. There are 255 priority levels, with 255 being the highest priority. Partitions are created by subtracting budget from the system partition, a parent partition that initially has 100% of the budget. APS also provides the so-called idle-time mode to improve the performance in the average case through budget reclamation. Threads can be assigned to specific cores using core affinities. Inter-process communication in QNX. QNX offers different IPC mechanisms like synchronous message passing (SyncMP), signals, FIFOs, pipes, message queues, and shared memory communication. Among these, SyncMP and signals are implemented in the microkernel, while the others are offered as external services. In this work, we focus on synchronous message passing that forms the foundational IPC message mechanism provided by the ONX OS. This is based on the three key primitives, MsgSend(), MsgReceive(), and MsgReply(), which form the basis to realize the *client*server paradigm [5]. Fig. 1 shows the kernel state transitions involved when a client and server interact using the SyncMP primitives mentioned earlier. The client (sender) thread invokes a blocking MsgSend() until it receives a MsgReply() from the server (receiver) thread. The server, on the other hand, listens to incoming messages with a MsgReceive(), processes them, and replies with a corresponding MsgReply(). As opposed to MsgSend(), MsgReply() is non-blocking, implying that a server thread could reply to a client and continue with its normal processing while the underlying networking stack (kernel) could asynchronously transfer the reply data to the client thread.

**QNX Channels.** With channels, QNX offers an abstraction over the regular message passing to be used for client-server communication with the discussed SyncMP primitives. The channel facilitates many-to-one communication, and therefore, multiple threads could connect to a given channel. Every channel records the threads waiting for messages, un-replied



Figure 1: Kernel states and transitions the client (a) and server (b) transition during message passing. Other state transitions are indicated in dashed lines.

messages, and received but not yet replied messages for bookkeeping. When multiple messages are waiting on the same channel, they are consumed in priority order by a server calling MsgReceive(), according to the priority of the client that sent it.

**QNX Transparent Distributed Processing (QNET).** QNET is the native network manager of QNX. It provides the system developer with a uniform interface to access resources from either local and remote nodes, giving the appearance of a single logical computer to the developer while abstracting the underlying pool of interconnected QNX-based devices. In this work, we explore the behavior of the QNX SyncMP also in distributed setups leveraging QNET.

Priority Inheritance and Server Boost. ONX allows to enable inheritance, in the form of priority and partition inheritance. When inheritance is enabled, server threads inherit the priority of the client they are serving and execute it on behalf of the client. QNX also provide the "Server boost" feature, aimed to minimize processing delays for blocked high-priority threads. So, when a high-priority thread becomes SEND\_BLOCKED on a server (meaning its requests are queued at the server and the server is not actively handling them), then in an attempt to speed up the processing, the kernel selects candidate server threads that may receive on the given channel (and service the request), and boosts their priorities. Also, when none of the server threads are RECEIVE BLOCKED on a channel, then the kernel boosts the priority of all server threads that last received on that channel, with the intent that they would finish their current processing and service the incoming requests faster with the boosted priority. While this feature could improve the average-case performance, it could generally harm predictability by raising the priority of multiple threads at the same time.

**Partition Inheritance.** When a server executes on behalf of a client, it could be desirable to bill the corresponding execution cost to the client partition. QNX handles this with the concept of partition inheritance. Server processes can be hosted in zero or minimal-budget partitions, and whenever a server executes on behalf of a client, the execution is billed to the partition of the client. Interestingly, we observed that the QNX implementation leads to some non-intuitive (undocumented) behavior that differs depending on whether the client and the server run on the same node or in different nodes. We explore this in more detail in Section III.

## **III. SYSTEM MODEL**

This paper considers a set of m identical cores  $\mathcal{U} = \{p_1, \ldots, p_m\}$  running the QNX APS scheduler. Cores can be potentially located on different nodes in a distributed system.

#### A. Workload Model

The workload is composed of a set  $\mathcal{T} = \{\tau_1, \ldots, \tau_n\}$  of n real-time threads. Each thread is characterized by a priority  $\pi_i$ . Threads are scheduled according to *partitioned scheduling*, i.e., each thread is assigned to only one core. Threads are divided into two categories: *clients* and *servers*. Thus, the application behavior is realized by clients that offload particular functionalities to servers. Each client  $c_i$  is characterized by a worst-case execution time (WCET)  $e_i$ . Each client thread is released sporadically with minimum inter-arrival time  $T_i$ . Each client thread instance needs to complete within  $D_i \leq T_i$  time units from its release (constrained deadline). Each client  $c_i \in C \subseteq \mathcal{T}$  communicates with zero or more servers threads in  $S \subset \mathcal{T}$  by requiring zero or more services.

Each server  $s_h \in S$  provides one or more services  $\mathcal{Q}(s_h) \subseteq \mathcal{Q}$ , where  $\mathcal{Q}$  is the set of all services. Servers are expected to follow the code structure suggested by the QNX documentation [11], shown in Algorithm 1. A server receives all service requests on the same channel, identified by a service type. A service  $\sigma_x \in \mathcal{Q}$  is realized by means of the QNX SyncMP, based on MsgSend()-MsgRcv()-MsgReply(). We assume each service is provided by only one server. This assumption allows making the system more predictable by: (i) reducing the server boost effect, discussed in Section II, to a single server, thus removing any uncertainty to which server priority is boosted, and (ii) allowing to avoid the LIFO scheduling effects that would arise when a service can be handled by multiple servers [5]. Each service  $\sigma_x \in Q$ is characterized by a worst-case service time (WCST)  $\omega_{x,i}$ , bounding the time required to complete service  $\sigma_x$  (without interference) when called by a client  $c_i \in C$ . The WCST of a service depends on the client issuing the request because, for example, different clients may use different input data, with potentially large effects on the time required to handle the request.

Client WCETs and server WCSTs already include the OS overheads to receive and transmit data.

Furthermore, an interaction between a client  $c_i$  and a server  $s_j$  for a service  $\sigma_x$  is characterized by a pair of worstcase communication delays (WCCDs)  $\delta_{i,j}^x$  and  $\delta_{j,i}^x$ , bounding the time required to transmit and receive the data through the physical medium, respectively. Whenever such a time is negligible (e.g., if the client and the server is allocated to the same core or node),  $\delta_{i,j}^x = \delta_{j,i}^x = 0$ . We consider a discretetime model in which all time parameters are integer multiples of a basic time unit  $\epsilon = 1$  (e.g., a processor cycle).

For each pair of client  $c_i$  and service  $\sigma_x$ ,  $n_{i,x}^{S} \in \mathcal{N}$  is the number of times each instance of  $c_i$  requires  $\sigma_x$ . Given a service  $\sigma_x$ , the function  $\mathcal{Q}^{-1}(\sigma_x) = s_h$  returns the server providing that service.

# Algorithm 1 Expected structure of a server thread in QNX.

1:	$ch_id \leftarrow ChannelCreate()$	
2:	while true do	
3:	$rcv_id \leftarrow MsgReceive(ch_id, n)$	msg)
4:	switch msg.type do	
5:	case type A	
6:		Handle a service of type A
7:	case type	
8:	Þ	Handle a service of type
9:	MsgReply(rcv_id, response)	
10:	end while	

We assume service requests cannot be nested: i.e., a server handling a request cannot perform any service request to any other server (thus acting as a client thread). Although supporting nested requests can be a case of practical relevance, due to space constraints, we prefer to leave it to future work as the QNX manual itself [5] (page 80) suggests taking special care when supporting nested service requests because they can lead to issues such as deadlocks. Their study would then require extended considerations for the modeling and analysis. The worst-case response time (WCRT)  $R_i$  of a client  $c_i$  is the longest time span from the release to the completion of any of its instances. A client  $c_i$  is said to be schedulable if  $R_i \leq D_i$ .

#### B. Adaptive Partitioning Scheduler Model

The system includes a set of APS partitions  $\mathcal{P}$  =  $\{P_1,\ldots,P_s\}$ . Each partition  $P_k$  is characterized by a nominal budget of  $B_k$  time units. The symbol  $b_k(t)$  denotes the current budget of  $P_k$  at time t. The accounting window size is denoted with W [6]. As in prior work [6], we restrict to the more predictable case [12] in which also partitions follow partitioned scheduling, i.e., each core  $p_i \in \mathcal{U}$  can host multiple partitions, referred to as  $\mathcal{P}_i$ , but each partition is managed by one core only. For any arbitrary thread (either a client or a server)  $\tau_i$ , hep<sup>cl</sup><sub>k</sub>( $\tau_i$ ) and hep<sup>sr</sup><sub>k</sub>( $\tau_i$ ) denote the sets of all clients and all servers with higher or equal priority than  $\tau_i$  in the same partition  $P_k$ , respectively, excluding  $\tau_i$ . hep<sup>cl</sup>( $\tau_i$ ) and lp<sup>cl</sup>( $\tau_i$ ) include all clients from all partitions with higher-or-equal and lower priorities, respectively, independent of the partition. Set  $S_k$  denotes the set of all the servers in partition  $P_k$ . We model the supply-time given by an arbitrary partition  $P_k$  using the supply-bound function abstraction [13]-[15], in which  $sbf_k(\Delta)$  bounds the minimum amount of supply provided by partition  $P_k$  in any interval of length  $\Delta$ . A supply-bound function instance for APS has been derived in [6]. The supplybound function of the system partition for threads allocated on core  $p_k$  is denoted with  $sbf_{sys,p_k}(\Delta)$ .

# C. Client-Server Interaction

Next, we formalize the behavior of the client-server interaction of QNX by a set of rules. The first seven rules (**R1-R7**) model the baseline behavior of the mechanism without priority and partition inheritance enabled. This scenario is referred to as C-S (i.e., client-server). Rule **R8** extends C-S to account for *priority inheritance*. Rule **R9-A** extends **R1-R8** to account for *partition* inheritance in the case in which the client and the server are in the same physical node (called LOCAL-I, local with inheritance). Rule **R9-B** extends **R1-R8** to account for *partition* inheritance in the case in which the client and the server are distributed in two different nodes (called DISTR-I, distributed with inheritance).

# Basic IPC-SyncMP Rules (Scenario C-S):

- **R1:** When an instance of each client thread is released, it is in the READY state.
- **R2:** When a client requires a service  $\sigma_s$  it calls the MsgSend() system call. Then, it suspends moving to the SEND\_BLOCKED state.
- **R3:** If a client sends a message to a server that is blocked in the RECEIVE\_BLOCKED state, the server is awakened and transits to the READY state.
- **R4:** When a server calls the MsgReceive() system call, if there is no message to be managed, it transits to the RECEIVE\_BLOCKED state.
- **R5:** After receiving a message (using MsgReceive()), the server manages the request by executing the corresponding code (see Algorithm 1), and replies to the client using MsgReply(). The client then transits to the READY state. While the server handles a request of a client, the client transitions to the REPLY\_BLOCKED state.
- **R6:** Once a server starts processing a request, the request is served to completion.
- **R7:** When multiple messages are waiting on the same channel, they are consumed in priority order by the server, according to the priority of the client that sent each message. Consequently, services are handled in priority order by servers.

# Additional rule for the LOCAL-I and DISTR-I Scenario:

**R8:** When a service request (i.e., a message) is inserted in the queue of a server, if the priority of the corresponding client is higher than the current server priority, then the server inherits such priority. When a server thread  $s_i$  finishes serving a service  $\sigma_x$ , it remains with the same priority until either: (i) a new, higher-priority service request is enqueued in  $s_i$ , thus raising its priority, or (ii) the next call to MsgReceive() selects a lower-priority service request, and the priority of  $s_i$  is downgraded.

# Additional rule for the LOCAL-I Scenario:

**R9-A:** If a server  $s_i$  and client  $c_j$  are in the same node, when  $s_i$  processes a request from  $c_j$ ,  $s_i$  inherits the partition of  $c_j$  to serve the request of  $c_j$ , i.e., it consumes the budget of the partition of  $c_j$ .

# Additional rule for the DISTR-I Scenario:

**R9-B:** If a server  $s_i$  and client  $c_j$  are in two different nodes, when  $s_i$  processes a request from  $c_j$  it uses the budget of the system partition.

# D. Rule Validation

Most of the content in the rules we presented is based on a detailed inspection of the QNX manuals. However, the QNX OS is closed-source, and manuals do not contain enough details to enable accurate modeling. Therefore, to complement and corroborate our findings with empirical evidence, we designed a specific set of experiments, and we ran them on a real platform.

All experiments are performed on a Raspberry Pi 4B with 4 cores and 4GB RAM using the QNX 7.1 Software Development Platform (SDP). For each of the evaluated settings, the QNX event tracing facility is used to obtain the execution trace. The kernel instrumented for tracing operates typically 98% as fast as the non-instrumented kernel [5]. During tracing, events are stored in a buffer inside the kernel, from where they are read by a data capture utility. In our experiments, event filters are set such that only relevant events from our application are recorded. We further extended the tracing facilities to record detailed information on APS partition usage over time. Where applicable, we log the APS partition statistics at a granularity of 1 ms. Furthermore, the data capture utilities are allocated to a core that is not used for the experiments.

QNX APS does not allow enabling or disabling priority and partition inheritance separately: therefore, in the following, we simply refer to enabling or disabling inheritance to mean both.

1) Experiments on a single node: This set of experiments consists of two settings: SETTING A, which does not use APS partitions (and hence it cannot leverage partition inheritance but only priority inheritance, if enabled), adopting fixed-priority scheduling without reservation, and SETTING B, which instead uses APS.

SETTING A executes four client threads and one server thread on the same core. Each client thread executes for 50% of its execution time before a request is sent to the server. All clients request the same service with a WCET of 10 ms and always run for their WCET. A complete description of the setting is provided in the lower part of Fig. 2a. Client and server parameters in the tuple are described in the following order: period, WCET, offset, nominal priority and required/provided service. As a server does not have its own period or offset we use '-' to indicate this at the respective places. The initial offsets of client threads are selected such that the server  $s_1$  handles the initial request of  $c_1$  before  $c_2$ ,  $c_3$  and  $c_4$  can each send a request to the server.

Listing 1 and 2 show an excerpt of the execution trace of  $c_2$  and  $s_1$ , respectively, for the case in which inheritance is disabled. From the trace of  $c_2$  it can be observed that the client thread transitions to READY at its release at t = 6 ms, confirming **R1**. After the initial execution phase,  $c_2$  sends a request to  $s_1$  and transitions to the state SEND\_BLOCKED, confirming **R2**. From the server trace, it can be seen that  $s_1$  transitions to RECEIVE\_BLOCKED at t = 74.5 ms when no more pending messages exist. The server becomes RUNNING again after  $c_1$  sends its second request (note that the trace does not include an explicit entry for READY as  $s_1$  directly starts to execute). This confirms **R3** and **R4**. The trace of  $c_2$  further shows that the thread's state transitions from SEND\_BLOCKED to REPLY\_BLOCKED at t = 59.6 ms, which coincides with the respective execution start of  $s_1$  to handle the request. At



Figure 2: SETTING A without priority inheritance and without APS (a) and with priority inheritance and without APS (b). Execution trace of the first 80 ms. The thread parameters of SETTING A are shown in the bottom party of (a) and the priority trace of  $s_1$  is shown in the bottom part of (b).

t = 69.5 ms the server completes the request and  $c_2$  transitions to RUNNING, confirming **R5**.

## Listing 1: Partial trace of $c_2$ .

6.0015	ms	READY	CPU:	1	Prio:	253
6.0052	ms	RUNNING	CPU:	3	Prio:	253
10.9591	ms	SEND_BLOCKED	CPU:	3	Prio:	253
59.6297	ms	REPLY_BLOCKED	CPU:	3	Prio:	253
69.5848	ms	RUNNING	CPU:	3	Prio:	253

#### Listing 2: Partial trace of $s_1$ .

54.6692	ms	READY	CPU:	3	Prio:	252	
59.6271	ms	RUNNING	CPU:	3	Prio:	252	
69.5835	ms	READY	CPU:	3	Prio:	252	
74.5402	ms	RUNNING	CPU:	3	Prio:	252	
74.5426	ms	RECEIVE_BLOCKED	CPU:	3	Prio:	252	
204.9591	ms	RUNNING	CPU:	3	Prio:	252	

Fig. 2a depicts the same execution trace where inheritance is *not* enabled. At  $t = 30 \text{ ms } s_1$  finishes serving the request of  $c_1$ . There are three outstanding requests in the queue of the server that arrived in the sequence  $c_2$ ,  $c_3$ , and  $c_4$ . The server first handles the request by  $c_3$ , then the request by  $c_4$ , and finally the request of  $c_2$ . Therefore, **R7** is confirmed, and services are handled in priority order by the server. **R6** can further be confirmed as the initial request of  $c_1$  is served to completion before other requests are handled in priority order. This is a direct consequence of the server implementation (see Algorithm 1).

Fig. 2b depicts the same system, but inheritance is enabled.

The priority of  $s_1$  is shown in the lower part of Fig. 2b.  $s_1$ starts to execute at its nominal priority 252. At  $t = 5 \text{ ms } s_1$ starts to handle the request of  $c_1$ . Consequently,  $s_1$  inherits the priority of  $c_1$  and executes at a priority of 250. At t = 11 ms,  $c_2$  sends a request to the server, followed by a request from  $c_3$  at t = 17 ms. We can observe that the server priority is following accordingly, to 253 at t = 11 ms and to 255 at t = 17 ms. This confirms the first part of **R8**. A difference between Fig. 2a and Fig. 2b can be observed at t = 35 ms.  $s_1$  executes at the highest priority and completes the request of  $c_1$ . The current priority of  $s_1$  is 255, and only one request of  $c_2$  is pending (with priority 253).  $s_1$  continues executing at priority 255 to call MsgReceive () and retrieve the pending request by  $c_2$ , and its priority changes to 253 before the server is preempted by  $c_4$  (confirming the second part of **R8**). Thus, the order of requests that are handled by the server is different compared to the case without priority inheritance.

SETTING B is used to examine how APS partitions are handled in conjunction with the SyncMP mechanism. The system comprises five threads in total. Two threads act as client threads  $(c_1 \text{ and } c_2)$ , one server thread  $(s_1)$ , and two ordinary threads ( $\tau_1$  and  $\tau_2$ ). As before, each client executes for half of its nominal execution time before it places a request to the server. Thread parameters are listed next to the execution trace in Fig. 3. Please note that the tracing tool of QNX does not show the current budget of each partition, but the cumulative time that threads of a respective partition executed within the last scheduling window [t - W, t]: the corresponding budget can be read as shown for  $P_1$  in Fig. 3(a).  $\tau_1$  and  $c_1$  are assigned to partition  $P_1$  and  $\tau_2$ ,  $c_2$  and  $s_1$  are assigned to partition  $P_2$ . Each APS partition has a nominal budget of 40%. All threads that are mapped to  $P_1$  are allocated to core 1, and all threads that are mapped to  $P_2$  are allocated to core 2. Fig. 3 depicts the recorded execution trace (top), APS trace (middle), and priority trace (bottom) for the case with (a) and without (b) inheritance enabled.

In the case without inheritance (Fig. 3a), we can observe that the SyncMP mechanism is not affected by the APS scheduler. Furthermore, each APS partition consumes budget when a respective thread executes. For  $P_2$  this means the server exceeds its nominal budget at t = 40 ms, but since no other thread is assigned to the same core  $P_2$  can consume the idle time. We can also observe that a thread that preempts a server, while the server serves a request using an inherited APS partition, executes in its nominal partition ( $\tau_2$  between t = 43 ms and t = 53 ms). From these observations, we can conclude that **R9-A** holds for the case that client and server are mapped to the same node.

2) Experiments on a distributed system: This section investigates the SyncMP properties when client and server are allocated on two different nodes. In our experimental setup, both nodes are identical to the one used for the single-node experiments and connected via Ethernet.

SETTING C executes two client threads  $c_1$  and  $c_2$  and one server thread  $s_1$  on two different nodes connected via QNET.  $c_1$  is allocated to Node 1 and a partition  $P_1$ .  $c_2$  and  $s_1$  are



Figure 3: (top) Execution trace of SETTING B in the first 70 ms. (middle) Partition budget usage of  $P_1$  (red),  $P_2$  (blue). (bottom) Priority of server  $s_1$ . The thread parameters are described in (a) in the following order: period, WCET, offset, nominal priority, required/provided service. The assigned partition and core are indicated for the thread groups. Each partition is described by its budget  $B_k$ , and W = 100 ms. The current budget  $b_k(t)$  is illustrated for  $P_1$  in (a).

allocated to Node 2 and a partition  $P_2$ . Inheritance is enabled for the communication channel. Thread parameters can be found in Fig. 4. Fig. 4 shows the recorded trace of Node 1 and Node 2. Note that timestamps are not synchronized and exhibit an about 5 ms offset due to independent trace collection. The key findings from this experiment are:

(1) Priority inheritance works across node boundaries. This can be seen as  $\pi_{s_1}$  inherits the priority of  $c_1$  and  $c_2$  at t = 200 ms and t = 220 ms respectively.

(2) Partition inheritance behaves differently across node boundaries. While requests by  $c_2$  are served by consuming budget of  $P_2$ , requests by  $c_1$  are not served by consuming budget of  $P_2$ . In fact, requests of  $c_1$  are neither consuming the budget of  $P_1$  or  $P_2$  but instead consume budget from the (default) system partition. This observation corroborates the validity of **R9-B**.

#### E. Discussion

In this section, we formalized the behavior of the QNX OS SyncMP mechanism through a set of rules, and we validated them with a series of experiments on a real platform, paving the way for a sound timing analysis. We observe scenarios that make the timing analysis challenging. This is the case when two threads are consuming the budget of an APS partition at the same time. This is shown in Fig. 3b, between t = 28 and t = 38, where the budget in  $P_1$  is consumed by  $\tau_1$  and  $s_1$ .

Furthermore, experiments with the client and the server distributed over different nodes highlight the importance of performing them, uncovering undocumented behaviors (**R9-B**) with substantial effects on the timing behavior. One possible explanation for the observed APS inheritance across nodes is



Figure 4: Execution trace of SETTING C on Node 1 and Node 2 (note: timestamps on different nodes are not synchronized).

the lack of platform information across different nodes (CPU frequency etc.), while the APS mechanism keeps track of budgets in clock ticks [8]. Exchanging APS information would further likely lead to increased communication bandwidth requirements.

## **IV. RESPONSE-TIME ANALYSIS**

The problem we address in this section is the following: how to bound the WCRT of a client thread that uses services provided by a server in a QNX-based system? We start discussing the case in which the priority and partition inheritance mechanism is not active. Before proceeding, we recall the needed background for analyzing self-suspending tasks.



Figure 5: Client-server interaction as a self-suspension.

## A. Background on self-suspending tasks

A client thread  $c_i$  can be modeled as a self-suspending task [9], by noting that every time  $c_i$  uses MsgSend() to request a service (rule **R2**), it self-suspends until the service is completed and the corresponding server calls MsgReply() system call (rule **R5**). This is shown in Fig. 5.

To introduce the needed background for bounding the WCRT of a self-suspending task (SS-task), we consider a set  $\Gamma_i^{ss} = {\tau_1^{ss}, \ldots, \tau_n^{ss}}$  related to a partition  $P_k$ . Each SS-task is characterized by a minimum inter-arrival time  $T_i$ , a constrained deadline  $D_i \leq T_i$ , a WCET  $e_i$ , and a suspension time bound  $S_i$ , under fixed-priority partitioned scheduling.

Furthermore, let  $hep_z^{ss}(\tau_i^{ss})$  be the set of SS-tasks with a higher or equal priority of  $\tau_i^{ss}$  on the same core  $p_x$ , excluding  $\tau_i^{ss}$  itself. The WCRT  $R_i$  of a task  $\tau_i^{ss}$  can be bounded with the least positive solution of the following equation:

$$sbf_k(R_i) \ge e_i + S_i + \sum_{\tau_j^{SS} \in hep_z^{SS}(\tau_i^{SS})} \left\lceil \frac{R_i + \overline{R}_j - e_j}{T_j} \right\rceil \cdot e_j$$
 (1)

by modeling the self-suspension of the task under analysis as execution time and self suspensions of interfering tasks as jitter [9]. In Eq. (1), which computes the response time bound  $R_i$ , it is assumed to use a pre-existing WCRT bound  $R_j$  for  $\tau_i^{\rm ss}$ , thus introducing a cyclic dependency. The dependency can be broken by assuming that all jobs are killed after the deadline: this allows initially setting the WCRT bounds  $R_i$  to the deadline  $D_i$ . In this way, if threads are schedulable, no jobs are killed, making the assumption not necessary (more details in [16]). Iterative improvements are possible by running the analysis multiple times for all tasks, each time obtaining new (smaller) bounds for  $R_i$  leveraging  $\overline{R}_i$  (see Eq. (1)), updating the values of each  $\overline{R}_i$  with the newly computed  $R_i$ , and reiterating the process until no improvement is achieved between two consecutive runs of the analysis [16]. Finally, note that the equation of Section 4.2.3 in [9] has been extended in Eq. (1) to consider a more general supply-bound function  $sbf_k(\Delta)$ , while [9] considered a core supply equal to the total core capacity. The extension is safe as long as the corresponding partition does not deplete the budget when it becomes idle (e.g., as it occurs for a polling reservation [12]). However, this is not the case for the APS partition reservation algorithm [6], which ensures each suspending thread that the remaining budget after being awakened from the suspension will be no less than the one it had before suspending if no interfering workload in the same partition consumed it in the meantime. Our analysis, presented in the following, remains compatible with the case of a fully-dedicated core, which can be modeled with each core having a single partition allocated on it, with a supply-bound function  $sbf_k(\Delta) = \Delta, \forall p_k \in \mathcal{U}.$ 

# B. Baseline Response-Time Analysis (C-S)

**Deriving a bound on the suspension time.** We start focusing on the C-S scenario, which consists in the baseline clientserver mechanism with no inheritance enabled. By rule **R2**, a client task self-suspends for waiting for its service request to be served. Therefore, to build a response-time analysis framework to guarantee the schedulability of client threads, we first bound the time spent waiting by service requests to servers, i.e., the suspension time, in the SS-task theory jargon.

For each service  $\sigma_x$ , let  $R_{x,i}^{sc}$  be a bound on its worst-case response time when the service request is issued by  $c_i$ , i.e., the longest time span from when a service request for  $\sigma_x$  is received by its server  $s_h = Q^{-1}(\sigma_x)$  to when it completes (i.e., when MsgReply() is used to reply, see **R5**).

Therefore, the overall self-suspending time of a client  $c_i$  is bounded by the sum of the WCRT bounds all the services multiplied by  $n_{i,x}^{S}$ , the number of times  $c_i$  calls  $\sigma_x$ , plus the WCCD required for  $c_i$  to communicate with  $s_h$  for service  $\sigma_x$ :

$$S_i \le \sum_{\sigma_x \in \mathcal{Q}} \left( n_{x,i}^{\mathsf{s}} \cdot \left( R_{x,i}^{\mathsf{sc}} + \delta_{i,h}^x + \delta_{h,i}^x \right) \right) \tag{2}$$

Next, we bound the WCRT  $R_{x,i}^{sc}$  of an arbitrary service  $\sigma_x$  in setting C-S. To this end, let  $s_h = Q^{-1}(\sigma_x)$  be the server that manages  $\sigma_x$ .

To begin, we aim at deriving an analysis that is as flexible as possible, allowing the co-existence of multiple clients and servers in the same partition (which can be helpful in some cases since APS limits the maximum number of partitions on one node to 32 [7]).

Hence, given an arbitrary service  $\sigma_x$  under analysis handled by a server  $s_h$  allocated to a partition  $P_k$ , we classify three different sources of interference:

- The interference due to other clients allocated to the same APS partition  $P_k$ , bounded by  $I_h^{cl}(\Delta)$ .
- The interference caused by any other server than  $s_h$  in  $P_k$ , bounded by  $I_h^{\text{oth-sr}}(\Delta)$ .
- The interference due to the processing of other service requests in the same server  $s_h$ , bounded by  $I_{h,x}^{\text{sm-sr}}(\Delta)$ .

Based on these sources of interference, Lemma 1 presents a bound on the WCRT of a service  $\sigma_x$  issued by a client  $c_i$ .

**Lemma 1.** Consider an arbitrary instance  $\sigma'_x$  of a service  $\sigma_x$  released at time A and issued by a client  $c_i$ . If  $S^*$  is the least positive solution of the following inequality

$$sbf_k(S^*) \ge \epsilon + I_h^{cl}(S^*) + I_h^{oth-sr}(S^*) + I_{h,x}^{sm-sr}(S^*)$$
 (3)

then  $\sigma'_x$  starts running at most at  $A + S^*$ . If  $R^*$  is the least positive solution of the following inequality

$$sbf_k(R^*) \ge \epsilon + I_h^{cl}(R^*) + I_h^{oth-sr}(R^*) + I_{h,x}^{sm-sr}(S^*) + \omega_{x,i}$$
 (4)

where then  $\sigma'_x$  completes at most at  $A + R^*$  and  $R^{sc}_{x,i} = R^*$ is a bound on the response time of  $\sigma_x$  issued by  $c_i$ .

*Proof.* By definition  $I_h^{\rm cl}(S^*)$ ,  $I_h^{\rm oth-sr}(S^*)$ , and  $I_{h,x}^{\rm sm-sr}(S^*)$  bound the interference due to clients, services running in other servers in the same APS partition, and services handled by the same server in the interval  $[A, A + S^*)$ . Since  $S^*$  fulfills Eq. (3), the supply provided by partition  $P_k$  exceeds the demand by at least  $\epsilon$ . Therefore, since  $\sigma'_x$  is released at A,  $\sigma'_x$  starts being handled by  $s_h$  at most at  $A + S^*$ .

To prove Eq. (4), note that, internally to server  $s_h$ ,  $\sigma'_x$ is handled in a non-preemptive fashion. It follows that in  $[A + S^*, A + R^*)$ ,  $\sigma'_x$  cannot suffer interference from other services handled by the same server. Hence, the overall interference due to other service requests in  $[A, A+R^*)$ , is bounded by  $I_{h,x}^{\text{sm-sr}}(S^*)$ . Conversely, interference due to other client threads and requests handled in other servers can interfere also within  $[A + S^*, A + R^*)$ , and the corresponding interference components are bounded by  $I_h^{\text{cl}}(R^*)$  and  $I_h^{\text{oth-sr}}(R^*)$ . Since by assumption  $R_{x,i}^{\text{sc}} = R^*$  satisfies Eq. (4), the supply  $sbf_k(R^*)$ provided by  $P_k$  exceeds the demand (which includes the aforementioned interference components and the WCST  $\omega_{x,i}$ ) by at least  $\epsilon$ . It follows that  $\sigma'_x$  completes within  $[A, A+R^*)$ , and  $R^*$  is a valid response-time bound for  $\sigma'_x$ .

Thanks to Lemma 1, we are able to bound the WCRT  $R_{x,i}^{\text{sc}}$  of a service provided that the delay components  $I_h^{\text{cl}}(\Delta)$ ,  $I_h^{\text{oth-sr}}(\Delta)$ , and  $I_{h,x}^{\text{sm-sr}}(\Delta)$  are know. Next, we provide bounds for such components.

Similarly to classical jitter-based analysis for selfsuspending tasks (recalled in Section IV-A), the interference due to clients  $I_h^{cl}(\Delta)$  is bounded by the sum of the individual interference contributions by higher-or-equal-priority clients in the same partition  $P_k$  of the server  $s_h$  handling  $\sigma_x$ . Hence, it holds,

$$I_{h}^{\rm cl}(\Delta) \triangleq \sum_{c_j \in {\rm hep}_{k}^{\rm cl}(s_h)} \left\lceil \frac{\Delta + \overline{R}_j - e_j}{T_j} \right\rceil \cdot e_j.$$
(5)

We omit a formal proof to show Eq. (5) to be sound as it is analogous to standard results for self-suspending tasks [9]. As discussed in Section IV-A, Eq. (5) requires a preexisting bound on the response time of high-priority clients  $c_j \in \operatorname{hep}_k^{\operatorname{cl}}(s_h)$ , which can initially be upper-bounded with its deadline  $D_j$ , and possibly refined in an iterative fashion as discussed in Section IV-A. This technique, well-known in literature (e.g., see [9, 16]–[19]), is used multiple times in the following: the corresponding pre-existing WCRT bounds are denoted with the symbol  $\overline{R}$  to distinguish from those are under derivation (denoted by R).

Next, we define  $I_h^{\text{oth-sr}}(\Delta)$ .

Lemma 2. In setting C-S, it holds

$$I_{h}^{oth\text{-}sr}(\Delta) \triangleq \sum_{s_{a} \in hep_{k}^{sr}(s_{h})} \sum_{\sigma_{s} \in \mathcal{Q}(s_{a})} \sum_{c_{e} \in C \setminus c_{i}} \left\lceil \frac{\Delta + R_{e}}{T_{e}} \right\rceil \cdot n_{s,e}^{s} \cdot \omega_{s,e}$$

$$(6)$$

*Proof.* First, note that any other server with a priority higher than or equal to  $s_h$  can interfere with  $s_h$  ( $s_h$  is excluded by definition of  $I_h^{\text{oth-sr}}(\Delta)$ ). These servers are contained into the set hep<sup>sr</sup><sub>k</sub>( $s_h$ ). For each interfering server  $s_a$ , each service  $\sigma_s$ handled by that server (i.e., in set  $Q(s_a)$ ) can interfere with  $\sigma_x$  under analysis. Each service request is triggered at most  $n_{e,s}^{S}$  times by each instance of each client  $c_{e} \in C \setminus c_{i}$ . Note that the client  $c_i$  issuing the request for  $\sigma_x$  under analysis can be excluded from the set of clients issuing interfering requests because the QNX SyncMP is synchronous (rules R2-R5) and hence  $c_i$  has at most a pending service request at the same time. Knowing that each service  $\sigma_s$  can take at most  $\omega_{s,e}$  time units, it remains to bound the maximum number of service requests issued by each client  $c_e \in C \setminus c_i$  that may contribute to interference in an arbitrary interval  $[t, t + \Delta)$ . Such requests are determined by client's jobs, which are released according to a minimum inter-arrival time  $T_e$ . By definition of WCRT bound, service requests issued by clients job released before  $t - R_e$  must have completed at t, and therefore they cannot contribute to the interference in  $[t, t+\Delta)$ . Therefore, only jobs released in  $[t-R_e, t+\Delta)$  may contribute. The lemma follows by noting that at most  $\left|\frac{\Delta + \overline{R}_e}{T_e}\right| \cdot n_{e,s}^{S}$  service requests then be issued in  $[t - \overline{R}_e, t + \dot{\Delta})$ . 

Lemma 3 bounds the interference due to other services handled by the same server.

Lemma 3. In setting C-S, it holds

$$I_{h,x}^{sm-sr}(\Delta) \triangleq \sum_{\sigma_s \in \mathcal{Q}(s_h)} \sum_{c_e \in hep^{cl}(c_i)} \left\lceil \frac{\Delta + R_e}{T_e} \right\rceil \cdot n_{s,e}^{s} \cdot \omega_{s,e} + B_{h,x}^{sm-sr},$$
(7)

where 
$$B_{h,x}^{sm\cdot sr} = \max_{\sigma_l \in L, c_b \in lp^{cl}(c_i)} \omega_{b,l}$$
, with  
 $L = \{\sigma_l \in \mathcal{Q}(s_h) : \exists c_b \in lp^{cl}(c_i) \land n_{b,l}^S > 0\}.$ 

*Proof.* By rule **R7**, any service request issued by a client with priority higher than or equal to  $c_i$  in any partition (set hep<sup>cl</sup>( $c_i$ )) can interfere with  $\sigma_x$  in analysis. Analogously to Lemma 2, each of such service instance interfere for up to  $\left\lceil \frac{\Delta + \overline{R}_e}{T_e} \right\rceil \cdot n_{e,s}^{\mathsf{S}} \cdot \omega_s$  time units. By rule **R6**, each service runs to completion once started. Therefore, any low-priority service started just before  $\sigma_x$  is issued can block  $\sigma_x$  itself, for at most the duration of a single service. Low-priority blocking is then bounded by the longest duration of a service request from a low-priority client, i.e., by  $B_{h,x}^{\mathsf{sm-sr}}$ , proving the bound.

**Bounding the WCRT of clients.** The previous lemmas allow to bound the suspension time. Such a bound is used next in Lemma 4 to bound the WCRT of a client.

**Lemma 4.** Consider an arbitrary instance of  $c_i$  released at time A. If  $R_i$  is the least positive solution of the following inequality

$$sbf_k(R_i) \ge \epsilon + e_i + S_i + I_i^{cl}(R_i) + I_i^{oth-sr}(R_i)$$
(8)

then  $c_i$  completes no later than  $A + R_i$  and  $R_i$  is a bound on the response time of  $c_i$  in setting C-S. *Proof.* A client  $c_i$  can be delayed either by: (i) interference due to other clients and servers running in the same partition  $P_k$ , (ii) lack of supply due to  $P_k$ , and (iii) suspension time due to the synchronous offloading to servers (following the *suspension-oblivious* approach to model the suspension of the interfered thread [9], see Section IV-A). Delays due to (i) are accounted in  $I_i^{cl}(R_i)$  and  $I_i^{oth-sr}(R_i)$  delays due to (ii) are accounted in the supply-bound function  $sbf_k(R_i)$ , and (iii) is accounted for in  $S_i$ , which can be bounded with the results of Section IV-B. Since by assumption  $R_i$  satisfies Eq. (8), the supply  $sbf_k(R_i)$  provided by  $P_k$  exceeds the demand (which includes the interference plus at most  $e_i$  units to execute  $c_i$ ) by at least  $\epsilon$ . It follows that  $\sigma'_x$  completes no later than  $A+R_i$ , and  $R_i$  is a valid response-time bound for  $c_i$ .

The definitions of  $I_i^{\text{cl}}(\Delta)$  and  $I_i^{\text{oth-sr}}(\Delta)$  are analogous to those presented in Section IV-B (i.e., Eq. (5) and Eq. (6)) but specialized by using the sets  $\operatorname{hep}_k^{\text{cl}}(c_i)$  and  $\operatorname{hep}_k^{\text{sr}}(c_i)$  in place of  $\operatorname{hep}_k^{\text{cl}}(s_h)$  and  $\operatorname{hep}_k^{\text{sr}}(s_h)$  to denote the sets of all clients and servers with higher or equal priority than  $c_i$ , respectively, and without excluding  $c_i$  from C in the sum of Eq. (6).

Analysis and modeling alternatives. In this paper, we adopt the more flexible dynamic self-suspending model [9], modeling the suspension of the interfered thread as computation (suspension-oblivious) and the suspension of interfering threads as released jitter. From the modeling side, we deem it more accessible for an application designer to know only the overall WCET of a client and a suspension-oblivious approach. Conversely, adopting the segmented self-suspending model requires knowing the WCET bounds of each code chunk between two consecutive service calls, which can be hard to obtain. Nevertheless, when this information is available, a SPLIT analysis [9] approach could be adopted, and precision can possibly be improved. However, none of the approaches dominates the other, as SPLIT would incur additional accounting (for each segment) of the supply-bound function blackout time [6]. In contrast, the suspension-oblivious approach adopted in this paper may be more pessimistic when the client and the server are in the same core, as the same highpriority interference could be counted twice, both in the client and the server interference. The combination of the proposed method with SPLIT and the derivation of ad-hoc methods (e.g., using MILP formulations) to reduce the pessimism will be addressed in future work.

# C. Response-Time Analysis with Inheritance (LOCAL-I)

Next, we analyze the LOCAL-I setting, which occurs when inheritance is enabled, and pairs of clients and servers are on the same node. This setting is much harder to analyze in the general case. We identify two main analysis challenges and propose solutions that allow keeping the analysis simple.

**C1** - **Multi-supply over time.** A pending service request instance  $\sigma'_x$  under analysis can be interfered with by high-priority requests from different clients, which can be allocated to different APS partitions with different configurations and hence with a different supply-bound function  $sbf_k(\Delta)$ . Thus,

a general analysis should derive the worst-possible sequence of interfering requests to  $\sigma'_x$  and the state of the supply functions of the corresponding (potentially different) client partitions when such service requests produce interference in order to provide the lowest possible combination of supply provided to the server.

**C2** - Double-rate use of the budget. If the client's partition  $P_k$  includes multiple threads and another partition  $P_y$  starts consuming  $P_k$ 's budget due to the partition inheritance mechanisms,  $P_k$  and  $P_y$  can consume the budget of  $P_k$  in parallel, at a double rate, making it hard to lower-bound the partition supply (see Fig. 3b between t = 28 and t = 38, where the budget in  $P_1$  is consumed by  $c_1$  and  $s_1$ ).

Due to its complexity, we leave the general case to future work, and we target a more accessible yet practical case.

Therefore, we make the following simplifying assumptions: **A1.** Each server handles requests from only one client. **A2.** No other thread runs in the same partition of a client. **A3.** No other thread runs in the same partition of a server.

This setting is representative of many real cases where it is desirable to free clients and servers from interference due to other workloads, allocating them to dedicated partitions while still allowing to serve multiple clients by splitting the server code into multiple server threads.

Assumptions A1-A3 are largely beneficial from a predictability perspective. Thanks to A2 and R2-R5, at most one thread can consume the budget of a client's partition  $P_k$  at a time, thus avoiding the supply to be consumed at a double rate (C1). This allows using the existing bound for  $sbf_k(\Delta)$  that leverages this assumption [6]. With A1, the server partition inherits the budget of a single partition, i.e., the one of its client, thus avoiding the problem of combining the supply function of the server partition with multiple supply functions of different clients (C2). Finally, with A3, the server partition can be configured with zero budget, thus also avoiding combining its supply function with the one of the corresponding client. Lemma 5 bounds the response time under A1-A3.

**Lemma 5.** Consider an arbitrary instance of  $c_i$  released at time A. If A1, A2, and A3 hold, and  $R_i$  is the least positive solution of the following inequality

$$sbf_k(R_i) \ge e_i + \sum_{\sigma_x \in \mathcal{Q}} (n_{x,i}^{\mathcal{S}} \cdot (\omega_{x,i} + \delta_{i,j}^x + \delta_{j,i}^x))$$
(9)

then  $c_i$  completes no later than  $A + R_i$  and  $R_i$  is a bound on the response time of  $c_i$  in setting LOCAL-I.

*Proof.* Due to A1, the server thread  $s_h = Q^{-1}(\sigma_x)$  handles requests only from the client  $c_i$  under analysis. Due to rules **R2-R5**, the considered IPC mechanism is synchronous. Due to A3, no other workload run in the partition of the server thread  $s_h = Q^{-1}(\sigma_x)$ . Therefore, a service request  $\sigma_x \in Q$ with  $n_{x,i}^S > 0$  is immediately ready to be served in the server when received, if the client's partition has current budget  $b_k(t) > 0$ . Thanks to A2, no other workload can run in parallel in the client's partition  $P_k$ , thus making  $sbf_k(\Delta)$  (as defined in [6]) a valid abstraction for the service supply provided by  $P_k$  (i.e., challenge **C2** is avoided). The lemma then follows by noting that the resulting scheduling behavior is compatible with the one of a self-suspending task with no interference (i.e., executed in isolation), which can be analyzed by accounting for the suspension time as computation [9], with  $S_i = \sum_{\sigma_x \in Q} (n_{x,i}^S \cdot (\omega_{x,i} + \delta_{i,j}^x + \delta_{j,i}^x))$ .

# D. Response-Time Analysis with Inheritance (DISTR-I)

Finally, we analyze the setting DISTR-I, which occurs when the client  $c_i$  and the server  $s_h$  are in two different nodes, and they communicate through QNET (see Section III-D). DISTR-I results in having the expected inheritance of priorities, but requests are managed using the system's partition budget (rule **R9-B**). This introduces a third analysis challenge: **C3 - Derivation of the** *residual* **supply-bound function.** In APS, the system partition is the parent partition from which all partitions are subtracted when created. Therefore, to bound the budget that could be used to run the service requests directed to a server, it is necessary to bound the *residual* supply-bound function [20]  $sbf_{sys,p_a}(\Delta)$ .

In principle, this is possible by bounding the demand due to all non-system partitions allocated on a specific core and subtracting it from the overall processing time provided by the core. We leave the derivation of the residual supply-bound function under APS to future research while we focus on a simpler yet practical setup. To simplify the analysis, in this case we assume that:

**A4.** Servers are allocated in dedicated cores where all threads are only assigned to the system partition. These cores host no partitions other than the system partition.

Under this assumption, the residual supply-bound function for a core  $p_q$  equals the overall processing time provided by the core, i.e.,  $sbf_{sys,p_q}(\Delta) = \Delta$ . Instead, under DISTR-I, challenges C1 and C2 are not present because they are caused by the inheritance of the budget from the client partition. Also, note that the solution used for the LOCAL-I setup does not work in this case since it exploits the fact that under LOCAL-I the server uses the budget of the client partition. To extend the baseline analysis of Scenario C-S to work in Scenario DISTR-I, note that this affects the suspension time bound of Section IV-B as follows. First, the suspension time bound needs to consider the system partition under assumptions A4, with  $sbf_{sys,p_q}(\Delta) = \Delta$ . Second, the suspension bound of Scenario C-S needs to be modified to account for priority inheritance (rule R8). Priority inheritance is an inter-thread phenomenon. Hence, the interference due to other service requests in the same server (term  $I_{h,x}^{\text{sm-sr}}(\Delta)$ ) is analogous to Lemma 3. Differently, the interference due to other threads need to be adapted to consider the inheritance due to rule **R8**.

To this end, we first specialize the definition of  $I_h^{\rm cl}(\Delta)$  using the set hep $_{sys,p_q}^{\rm cl}(c_i)$  in place of hep $_{sys,p_q}^{\rm cl}(s_h)$  to denote the sets of all clients with higher or equal priority than  $c_i$  since due to **R8** the set of clients that can interfere with  $\sigma_x$  under analysis depends on the priority of  $c_i$  (and not of  $s_h$ , as in setting C-S). Lemma 6 defines  $I_h^{\text{oth-sr}}(\Delta)$  for the DISTR-I setting.

**Lemma 6.** In setting DISTR-I, under A4, it holds  $I_h^{oth-sr}(\Delta) \triangleq B_{h,x}^{inh} + I_h^{hep}(\Delta)$ , where

$$I_{h}^{hep}(\Delta) = \sum_{s_{a} \in S_{sys, p_{q}} \setminus s_{h}} \sum_{\sigma_{s} \in \mathcal{Q}(s_{a})} \sum_{c_{e} \in hep^{cl}(c_{i})} \left\lceil \frac{\Delta + \overline{R}_{e}}{T_{e}} \right\rceil n_{s, e}^{S} \omega_{s, e},$$
(10)

and

$$B_{h,x}^{inh} = \sum_{s_a \in S_{sys, p_q} \setminus s_h} \max_{\sigma_l \in L, c_b \in lp^{cl}(c_i)} \omega_{b,l}, \qquad (11)$$

with  $L = \{\sigma_l \in \mathcal{Q}(s_a) : \exists c_b \in lp^{cl}(c_i) \land n_{b,l}^S > 0\}.$ 

*Proof.* Consider a service request  $\sigma_x$  under analysis issued by a client  $c_i$  and managed by a server  $s_h = \mathcal{Q}^{-1}(\sigma_x)$ .  $I_h^{\text{oth-sr}}(\Delta)$ consists of two components: (i) interference due to service requests from higher-or-equal priority clients  $c_e \in \operatorname{hep}^{\operatorname{cl}}(c_i)$ and (ii) blocking due to other servers that boost their priority. Consider first (i). By R8, since each server inherits the priority of the client issuing a request, in principle any server  $s_a \in S_{sys,p_q} \setminus s_h$  in the system partition of the same core can interfere with  $s_h$  ( $s_h$  excluded). For each interfering server  $s_a$ , due to **R8**, only services  $\sigma_s$  issued by clients  $c_e$  with higher or equal priority can interfere, irrespective to the partition, i.e., those from hep<sup>cl</sup> $(c_i)$ . Therefore, similar to Lemma 2, (i) is bounded by  $I_h^{\text{hep}}(\Delta)$ . Consider now (ii). We first show that each server  $s_a \in S_{sys,p_q} \setminus s_h$  can block at most once  $\sigma_x$ . When server  $s_a$  starts processing a request from a client  $c_b \in lp^{cl}(c_i)$ , it may receive a service request from a client  $c_x$  with priority higher than  $\pi_i$ . By the second part of **R8**, then  $s_a$  rises its priority  $\pi_x$ , thus causing blocking to the service request under analysis. Still due to **R8**, once  $s_a$  calls again MsgReceive(), it either (a) lowers its priority, thus being preempted by  $s_h$ , or (b) starts servicing a higher-priority request than the one under analysis. In both cases,  $\sigma_x$  cannot be blocked again. Finally, each server  $s_a \in S_{sys,p_q} \setminus s_h$  cannot contribute to blocking for more than the length of the longest service requested by a client  $c_b \in lp^{cl}(c_i)$  that  $s_a$  manages. Hence, (ii) is bounded by  $B_{h,x}^{\text{inh}}$ . The lemma then follows. 

Finally, note that whenever a client is allocated to the same core of one or more servers, the response-time bound of Lemma 4 needs to be updated with a blocking term, similar to Eq. (11).

# V. EVALUATION

This section presents the results of three evaluation studies. The first one evaluates the communication delay  $\delta_{i,j}^x$  in both the local and distributed setting. The second study targets a synthetic case where we compare the WCRT provided by the analysis with measurements obtained by running the application on an actual platform running QNX. All experiments on the QNX platform use the same setup that is used for the validation experiments in Sec.III-D. Finally, we evaluate the analysis on a realistic-case study based on the WATERS 2019 Challenge by Bosch [10] for both local and distributed setups.



Figure 6: Round-trip latency of the IPC communication for a varying length of request and reply message on the same (a) and between two nodes (b).

## A. Evaluation of Communication Latency.

The experimental setup used is the same as described in Section III for the validation experiments, in which we used one client and one server. The server does not perform any computation and replies directly after receiving the message. In this experiment, we recorded the latency required to perform and return from a call to  $msg\_send()$ , issued by the client. The measured latency thus includes both the time required to reach the server from the client and to come back from the server to the client. A varying message size (same value for request and response message) is used in the experiment for messages in the range [96 B, 2048 B], and 1000 measurements are performed for each configuration.

Fig. 6 shows the results for the case where client and server are allocated on the same node (Fig. 6a) and on different nodes (Fig. 6b). In the local communication case, for the observed range of message sizes, the communication delay remains almost constant for messages smaller than 256 B and again for messages with a size larger than 256 B. This jump in latency is likely caused by data-size-dependent implementations to copy data within the kernel (e.g., page flipping instead of the usual copy operations), as indicated in the QNX manual [5]. The observed latencies in the distributed case increase more distinctly with varying message size. In addition to the pure communication delay, QNET protocol overheads are observed.

#### B. Comparing Measurement Against Analysis Results.

Then, in the second evaluation study, we compared the analytical WCRT bound on the response time of a client against the largest observed value within several experiment runs (50 instances per data-point) of 10 s on the QNX platform, with and without inheritance enabled. A client c with T = 200 ms, e = 20 ms is assigned to a partition  $P_1$  on a core A, with 60% of the overall budget and requires a service  $\sigma$ . A server that serves  $\sigma$  is allocated to a separate partition  $P_2$  on a core B with 40% of the overall budget. The interfering workload is allocated to both cores in separate partitions, consuming the remaining budget, which would have otherwise been used by  $P_1$  and  $P_2$  leveraging APS's reclaiming. Priorities are assigned such that the interfering workload on core A has a higher priority than the client; the client has a higher priority than the interfering workload on core B, and the server has the lowest



Figure 7: WCRT bounds vs. measurement results.

priority. Measurements are obtained for a varying worst-case service time of  $\sigma$  in the interval [10, 100] ms in steps of 10 ms.

Fig. 7 shows that the measurement results for the case with inheritance are very close to the computed bounds, demonstrating the tightness of our approach for this setting.

## C. Automotive Case Study.

The case study investigates an application based on a next-generation automotive application, originally presented at WATERS'19 [10]. The application realizes an end-to-end autonomous driving application as shown in Fig. 8. For a detailed description of the case study we refer to [19, 21].

For the evaluation, the focus is put on the threads Detection and Localization. In the original model, both threads offload parts of their computation to accelerators. We adapted the case study to realize this by the QNX's SyncMP mechanism. Thus, Detection requires the service  $\sigma_1$  with a WCST  $\omega_2 =$ 99.8 ms and *Localization* requires the service  $\sigma_2$  with a WCST  $\omega_1 = 99.2 \,\mathrm{ms}$ . For each service, a server thread is added to the system and serves  $\sigma_1$  and  $\sigma_2$ , respectively. Each client and server is allocated to an APS partition. If not otherwise stated, the client thread partitions and server partitions have a budget of 50% and 60% of the overall budget of their cores, respectively. Priorities are assigned based on a rate monotonic order, and the servers are assigned a lower priority than the client threads. Communication delays have been set according to the maximum measured latencies in Fig. 6 for a message size of 1 kB. See the lower part of Fig. 8 for all parameters. All other threads of the case study are allocated to other partitions. Other threads' execution does not affect the presented results thanks to the provided timing isolation between partitions.

Effect of worst-case service time. The worst-case service time of  $\sigma_2$  is varied from 5 to 500 ms in steps of 5 ms. Fig. 9a shows the resulting response time bounds under the setting C-S and LOCAL-I for both client threads. The Detection client experiences a constant improvement between the to cases, while the Localization client's improvement is growing with the worst-case service time of  $\sigma_2$ . This demonstrates the effectiveness of the inheritance mechanism for this setting.

Effect of distributed deployment. This experiment targets a comparison between the C-S and the DISTR-I setting. The Detection and Localization server are moved to a separate node and placed inside the system partition with a budget



Figure 8: Adapted WATERS'19 case study with highlighted client/server allocation. Arrows among threads indicate data dependency but do not enforce precedence constraints.



Figure 9: (a) Varying WCST of  $\sigma_2$  for C-S and LOCAL-I. (b) Comparison between C-S and DISTR-I.

of 100% (to comply with A4), together with an additional interfering thread  $\tau_x$  with  $T_x = 200 \,\mathrm{ms}$  and  $e_x = 50 \,\mathrm{ms}$ . The Detection server has been configured with the lowest priority, the Localization server with the second lowest priority, and  $\tau_x$ has a higher priority than both servers. The priorities of both clients are higher than the one of  $\tau_x$ .

The results are shown in Fig. 9b. In setting C-S, both servers receive interference from  $\tau_x$ . In contrast, in the setting DISTR-I, servers inherit the priority of the client threads, which are higher than  $\tau_x$ 's priority. This allows for reducing the interference suffered by the server threads, leading to considerably smaller suspension-time bounds and hence WCRT bounds for the client threads.

# VI. RELATED WORK

To the best of our knowledge, only a few works studied the timing predictability of the QNX OS. The only two works are due to Dasari et al. [6, 8]. The first work [8] compared different configurations of the QNX OS in simulation. In the following paper [6], an end-to-end analysis for event chains under the APS scheduler of QNX has been proposed. However, none of these works considered the QNX SyncMP IPC and how it interacts with the APS scheduler.

Less close to our work, other research lines considered IPC protocols. For example, Brandenburg et al. [22] proposed an IPC protocol enabling temporal and logical isolation for mixed-criticality systems. Mergendahl et al. [23] studied the timing-related "Thundering Herd Attack" to synchronous IPC and budget management mechanisms, targeting the  $sel4-\mu$ -kernel. Other works targeted inter-process communication by studying communication mechanisms and paradigms [24]–[28], while other proposals come from the context of hypervisors [29, 30] and separation kernels [31]–[34].

Priority-and-budget inheritance protocols have been studied for a long time, mainly in the context of accessing lockprotected shared resources [35]. The seminal work on priority inheritance is due to Sha et al. [36] in 1990. Later on, many protocols have been proposed to avoid priorityinversion effects, also considering applications protected by reservation servers. Most related to QNX are the approaches based on budget inheritance [37]–[40]. Many different other approaches have been proposed over the years [41]–[49] but all considering lock-protected shared resources rather than a client-server mechanism as in this paper.

# VII. CONCLUSIONS AND FUTURE WORK

This paper explored the SyncMP mechanism of QNX to implement the client-server paradigm. We performed an extensive set of experiments to derive a sound model for both local and distributed setups, considering the interaction of SyncMP with the APS reservation-based scheduler of QNX. We explored the behavior of the priority and partition inheritance features of APS, unveiling some un-documented behaviors with crucial implications on predictability. The model allowed us to derive a worst-case response time analysis for threads using the client-server paradigm. We also showed some key analysis challenges that arise on some of the considered setups, proposing guidelines (in the form of practically viable analysis assumptions) that system designers can conveniently use to overcome them and improve predictability. These challenges also highlighted that it would be beneficial for predictability if the QNX OS could provide options to enable priority and partition inheritance separately. The evaluation showed how, thanks to the analysis, it is possible to reason on the suitability of a system configuration (e.g., in terms of priority assignment and budget configuration), at design time, without the need to deploy the system on the actual platform using a profile-based empirical approach. This paper gives rise to a considerable number of research directions for future work. For example, more sophisticated analysis methods can be devised to deal with the challenges highlighted in Section IV-C and Section IV-D. Other research directions include the study of the real-time behavior of nested service requests and other IPC mechanisms of QNX, such as pulses and signals, extend the analysis to segmented or hybrid [50] self-suspending task models, and derive optimization algorithms to set the system parameters based on the analysis automatically.

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